

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. **020431.0662**

In re Application of:

**BRIAN M. KENNEDY**

SERIAL NO. **09/510,607**

FILED: **22 FEBRUARY 2000**

FOR: **SYSTEM AND METHOD FOR  
MANAGING DATA ASSOCIATED  
WITH AVAILABLE TO-PROMIES(ATP)  
PRODUCTS**

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§  
§

EXAMINER:

**BLECK, CAROLYN M**

ART UNIT: **3626**

CONFIRMATION NO.: **2320**

**REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

The Applicant hereby requests a refund in the amount of \$200.00, which was charged on 21 June 2007 to Deposit Account No. 500777 as shown on the attached Deposit Account Statement (Exhibit A) obtained from the USPTO website on 03 August 2007. The fee associated with the \$200.00 in question is not necessary, as discussed below.

**REMARKS:**

According to information obtained from the Deposit Account Branch of the U.S. Patent and Trademark Office, the \$200.00 in question was charged as a fee for an excess of independent claims in a Response to Office Action that was filed on 18 June 2007.

The Response to Office Action, filed on 18 June 2007, contained 4 independent claims, as originally filed, and as indicated on the attached Patent Application Fee Determination Record of 22 February 2000 (Exhibit B). However, an attached Patent Application Fee Determination Record of 21 November 2005 (Exhibit C) incorrectly lists the independent claims remaining after amendment, as two; and incorrectly lists the highest number of previously paid for independent claims, as three. Therefore, the attached Patent Application Fee Determination Record of 18 June 2007 (Exhibit D), relying on the incorrect Patent Application Fee Determination Record of 21 November 2005 (Exhibit C), incorrectly lists the highest number of previously paid for independent claims as three, instead of four, as indicated on the correct Patent Application Fee Determination Record of 22 February 2000 (Exhibit B).

Accordingly, no fee for an extra independent claim should be necessary.

**CONCLUSION:**

Therefore, the Applicant hereby requests a refund in the amount of \$200.00 be credited to Deposit Account No. **500777**. No fees are deemed to be necessary for the filing of this Request; however, the undersigned hereby authorizes the Commissioner to charge any fees that may be required, or credit any overpayments, to **Deposit Account No. 500777**.

**Please link this application to Customer No. 53184 so that its status may be checked via the PAIR System.**

Respectfully submitted,

3 August 2007  
Date

/Steven J. Laureanti/signed  
Steven J. Laureanti, Registration No. 50,274

BOOTH UDALL, PLC  
1155 W. Rio Salado Pkwy., Ste. 101  
Tempe AZ, 85281  
214.636.0799 (mobile)  
480.830.2700 (office)  
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**CUSTOMER NO. 53184**

## EXHIBIT A


**United States  
Patent and  
Trademark Office**

**Deposit Account Statement**

|                                   |                         |
|-----------------------------------|-------------------------|
| <b>Requested Statement Month:</b> | June 2007               |
| <b>Deposit Account Number:</b>    | 500777                  |
| <b>Name:</b>                      | I2 TECHNOLOGIES US, INC |
| <b>Attention:</b>                 | ROBERT C. DONOHOO       |
| <b>Street Address 1:</b>          | 11701 LUNA ROAD         |
| <b>Street Address 2:</b>          |                         |
| <b>City:</b>                      | DALLAS                  |
| <b>State:</b>                     | TX                      |
| <b>Zip:</b>                       | 75234                   |
| <b>Country:</b>                   | UNITED STATES           |

| DATE  | SEQ   | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT        | BAL         |
|-------|-------|--------------------|---------------------------|-------------|------------|-------------|
| 06/01 | 7695  | 6658413            | 020431.0987               | 1551        | \$900.00   | \$34,960.00 |
| 06/04 | 11029 | 09892300           | 020431.0840               | 1801        | \$790.00   | \$34,170.00 |
| 06/08 | 4724  | 09688032           | 020431.0978               | 1801        | \$790.00   | \$33,380.00 |
| 06/11 | 509   | 11760229           | 1180.1381C                | 1011        | \$300.00   | \$33,080.00 |
| 06/11 | 510   | 11760229           | 1180.1381C                | 1111        | \$500.00   | \$32,580.00 |
| 06/11 | 511   | 11760229           | 1180.1381C                | 1311        | \$200.00   | \$32,380.00 |
| 06/11 | 1     | 10940851           | 020431.1381               | 1501        | \$1,400.00 | \$30,980.00 |
| 06/11 | 2     | 10940851           | 020431.1381               | 1504        | \$300.00   | \$30,680.00 |
| 06/11 | 3     | 10940851           | 020431.1381               | 8001        | \$30.00    | \$30,650.00 |
| 06/14 | 8755  | 09972383           | 020431.0779               | 1501        | \$1,400.00 | \$29,250.00 |
| 06/14 | 8756  | 09972383           | 020431.0779               | 1504        | \$300.00   | \$28,950.00 |
| 06/14 | 8757  | 09972383           | 020431.0779               | 8001        | \$30.00    | \$28,920.00 |
| 06/21 | 2     | 09510607           | 020431.0662               | 1201        | \$200.00   | \$28,720.00 |
| 06/22 | 1963  | 09895487           | 020431.0875               | 1501        | \$1,400.00 | \$27,320.00 |
| 06/22 | 1964  | 09895487           | 020431.0875               | 8001        | \$30.00    | \$27,290.00 |
| 06/25 | 9285  | 09895654           | 020431.0841               | 1801        | \$790.00   | \$26,500.00 |
| 06/25 | 9437  | 10032971           | 020431.0787               | 1501        | \$1,400.00 | \$25,100.00 |
| 06/25 | 9438  | 10032971           | 020431.0787               | 8001        | \$30.00    | \$25,070.00 |
| 06/25 | 10601 | 11767699           | 1180.0787D1               | 1011        | \$300.00   | \$24,770.00 |
| 06/25 | 10602 | 11767699           | 1180.0787D1               | 1111        | \$500.00   | \$24,270.00 |
| 06/25 | 10603 | 11767699           | 1180.0787D1               | 1311        | \$200.00   | \$24,070.00 |
| 06/25 | 10604 | 11767699           | 1180.0787D1               | 1202        | \$100.00   | \$23,970.00 |
| 06/25 | 10605 | 11767699           | 1180.0787D1               | 1201        | \$200.00   | \$23,770.00 |
| 06/27 | 2881  | 10139102           | 020431.1122               | 1501        | \$1,400.00 | \$22,370.00 |
| 06/27 | 2883  | 10139102           | 020431.1122               | 8001        | \$30.00    | \$22,340.00 |

|                  |                   |                     |                |
|------------------|-------------------|---------------------|----------------|
| START<br>BALANCE | SUM OF<br>CHARGES | SUM OF<br>REPLENISH | END<br>BALANCE |
| \$35,860.00      | \$13,520.00       | \$ .00              | \$22,340.00    |

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**PATENT APPLICATION FEE DETERMINATION RECORD**

Effective December 29, 1999

Application or Docket Number

**CLAIMS AS FILED - PART I**

|                                  | (Column 1)     | (Column 2)   |
|----------------------------------|----------------|--------------|
| FOR                              | NUMBER FILED   | NUMBER EXTRA |
| BASIC FEE                        |                |              |
| TOTAL CLAIMS                     | 32 minus 20= * | 12           |
| INDEPENDENT CLAIMS               | 4 minus 3= *   | 1            |
| MULTIPLE DEPENDENT CLAIM PRESENT |                |              |

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

|  | (Column 1)                       | (Column 2)                         | (Column 3)    |
|--|----------------------------------|------------------------------------|---------------|
| AMENDMENT A                                    | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total  | *                                | Minus **                           | =             |
| Independent                                    | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |                                  |                                    |               |

|  | (Column 1)                       | (Column 2)                         | (Column 3)    |
|--|----------------------------------|------------------------------------|---------------|
| AMENDMENT B                                    | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total  | *                                | Minus **                           | =             |
| Independent                                    | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |                                  |                                    |               |

|  | (Column 1)                       | (Column 2)                         | (Column 3)    |
|--|----------------------------------|------------------------------------|---------------|
| AMENDMENT C                                    | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total  | *                                | Minus **                           | =             |
| Independent                                    | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |                                  |                                    |               |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

**SMALL ENTITY TYPE**

| RATE   | FEE    |
|--------|--------|
|        | 345.00 |
| X\$ 9= |        |
| X39=   |        |
| +130=  |        |
| TOTAL  |        |

**OTHER THAN SMALL ENTITY**

| RATE   | FEE    |
|--------|--------|
|        | 690.00 |
| X\$18= | 216    |
| X78=   | 78     |
| +260=  |        |
| TOTAL  | 984    |

**SMALL ENTITY**

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$ 9=           |                |
| X39=             |                |
| +130=            |                |
| TOTAL ADDIT. FEE |                |

**OTHER THAN SMALL ENTITY**

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$18=           |                |
| X78=             |                |
| +260=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$ 9=           |                |
| X39=             |                |
| +130=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$18=           |                |
| X78=             |                |
| +260=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$ 9=           |                |
| X39=             |                |
| +130=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$18=           |                |
| X78=             |                |
| +260=            |                |
| TOTAL ADDIT. FEE |                |

09/5/0607

PTO/SB/06 (12-04)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b>  |   |                                    |               |                 |                     |           | Application or Docket Number  |           |                               |  |
|---|---|------------------------------------|---------------|-----------------|---------------------|-----------|-------------------------------|-----------|-------------------------------|--|
| Substitute for Form PTO-875   |   |                                    |               |                 |                     |           |                               |           |                               |  |
| <b>APPLICATION AS FILED – PART I</b>  |   |                                    |               |                 |                     |           |                               |           |                               |  |
| (Column 1)  |   |                                    | (Column 2)    |                 | SMALL ENTITY        |           | OR<br>OTHER THAN SMALL ENTITY |           |                               |  |
| FOR   | NUMBER FILED  | NUMBER EXTRA                       | RATE (\$)     | FEE (\$)        | RATE (\$)           | FEE (\$)  | RATE (\$)                     | FEE (\$)  |                               |  |
| BASIC FEE<br>(37 CFR 1.16(a), (b), or (c))  |   |                                    |               |                 |                     |           |                               |           |                               |  |
| SEARCH FEE<br>(37 CFR 1.16(k), (l), or (m))   |   |                                    |               |                 |                     |           |                               |           |                               |  |
| EXAMINATION FEE<br>(37 CFR 1.16(o), (p), or (q))  |   |                                    |               |                 |                     |           |                               |           |                               |  |
| TOTAL CLAIMS<br>(37 CFR 1.16(j))  | minus 20 =  | *                                  | X             | =               | OR                  | X         | =                             |           |                               |  |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(h))  | minus 3 =   | *                                  | X             | =               | OR                  | X         | =                             |           |                               |  |
| APPLICATION SIZE FEE<br>(37 CFR 1.16(s))  | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |                                    |               |                 |                     |           |                               |           |                               |  |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))   |   |                                    |               |                 |                     |           |                               |           |                               |  |
| * If the difference in column 1 is less than zero, enter "0" in column 2.   |   |                                    | TOTAL         |                 |                     | TOTAL     |                               |           |                               |  |
| <b>APPLICATION AS AMENDED – PART II</b>   |   |                                    |               |                 |                     |           |                               |           |                               |  |
| (Column 1)  |   |                                    | (Column 2)    |                 | (Column 3)          |           | SMALL ENTITY                  |           | OR<br>OTHER THAN SMALL ENTITY |  |
| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT  | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)       | ADDITIONAL FEE (\$) | RATE (\$) | ADDITIONAL FEE (\$)           | RATE (\$) | ADDITIONAL FEE (\$)           |  |
| Total<br>(37 CFR 1.16(j))   | 32  | Minus                              | 42            | =               | 25                  | =         | 50                            | =         | =                             |  |
| Independent<br>(37 CFR 1.16(h))   | 2   | Minus                              | 3             | =               | 100                 | =         | 200                           | =         | =                             |  |
| Application Size Fee (37 CFR 1.16(s))   |   |                                    |               |                 |                     |           |                               |           |                               |  |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))   |   |                                    |               |                 |                     |           |                               |           |                               |  |
|   |   |                                    |               | TOTAL ADD'L FEE |                     |           | TOTAL ADD'L FEE               |           |                               |  |
| (Column 1)  |   |                                    | (Column 2)    |                 | (Column 3)          |           | SMALL ENTITY                  |           | OR<br>OTHER THAN SMALL ENTITY |  |
| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT  | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)       | ADDITIONAL FEE (\$) | RATE (\$) | ADDITIONAL FEE (\$)           | RATE (\$) | ADDITIONAL FEE (\$)           |  |
| Total<br>(37 CFR 1.16(j))   | *   | Minus                              | **            | =               | X                   | =         | X                             | =         | =                             |  |
| Independent<br>(37 CFR 1.16(h))   | *   | Minus                              | ***           | =               | X                   | =         | X                             | =         | =                             |  |
| Application Size Fee (37 CFR 1.16(s))   |   |                                    |               |                 |                     |           |                               |           |                               |  |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))   |   |                                    |               |                 |                     |           |                               |           |                               |  |
|   |   |                                    |               | TOTAL ADD'L FEE |                     |           | TOTAL ADD'L FEE               |           |                               |  |
| * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.<br>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".<br>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".<br>The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. |   |                                    |               |                 |                     |           |                               |           |                               |  |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## EXHIBIT D

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875  |  |                                    |   |               | Application or Docket Number<br><b>09/510,607</b> |                                       | Filing Date<br><b>02/22/2000</b> |                               | <input type="checkbox"/> To be Mailed |                     |     |
|--|--|------------------------------------|---|---------------|---|---------------------------------------|----------------------------------|-------------------------------|---------------------------------------|---------------------|-----|
| <b>APPLICATION AS FILED – PART I</b>   |  |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
| (Column 1)   |  |                                    | (Column 2)  |               |   | SMALL ENTITY <input type="checkbox"/> |                                  | OR<br>OTHER THAN SMALL ENTITY |                                       |                     |     |
| FOR  | NUMBER FILED   | NUMBER EXTRA                       | RATE (\$)   | FEE (\$)      | OR  |                                       | RATE (\$)                        | FEE (\$)                      |                                       |                     |     |
| <input type="checkbox"/> BASIC FEE<br>(37 CFR 1.16(a), (b), or (c))  | N/A  | N/A                                | N/A   |               |   |                                       | N/A                              |                               |                                       |                     |     |
| <input type="checkbox"/> SEARCH FEE<br>(37 CFR 1.16(k), (l), or (m))   | N/A  | N/A                                | N/A   |               |   |                                       | N/A                              |                               |                                       |                     |     |
| <input type="checkbox"/> EXAMINATION FEE<br>(37 CFR 1.16(o), (p), or (q))  | N/A  | N/A                                | N/A   |               |   |                                       | N/A                              |                               |                                       |                     |     |
| TOTAL CLAIMS<br>(37 CFR 1.16(i))   | minus 20 =   | *                                  | X \$ =  |               |   |                                       | X \$ =                           |                               |                                       |                     |     |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(h))   | minus 3 =  | *                                  | X \$ =  |               |   |                                       | X \$ =                           |                               |                                       |                     |     |
| <input type="checkbox"/> APPLICATION SIZE FEE<br>(37 CFR 1.16(s))  |  |                                    | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |               |   |                                       |                                  |                               |                                       |                     |     |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))   |  |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
| * If the difference in column 1 is less than zero, enter "0" in column 2.  |  |                                    | TOTAL   |               |   |                                       | TOTAL                            |                               |                                       |                     |     |
| <b>APPLICATION AS AMENDED – PART II</b>  |  |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
| (Column 1)   |  |                                    | (Column 2)  |               |   | SMALL ENTITY                          |                                  | OR<br>OTHER THAN SMALL ENTITY |                                       |                     |     |
| <b>AMENDMENT</b>   | <b>06/18/2007</b>  | CLAIMS REMAINING AFTER AMENDMENT   | HIGHEST NUMBER PREVIOUSLY PAID FOR  | PRESENT EXTRA | RATE (\$)   | ADDITIONAL FEE (\$)                   | OR                               |                               | RATE (\$)                             | ADDITIONAL FEE (\$) |     |
|  | Total (37 CFR 1.16(i))   | * 32                               | Minus   | ** 42         | = 0   | X \$ =                                |                                  |                               |                                       | X \$50=             | 0   |
|  | Independent (37 CFR 1.16(h))   | * 4                                | Minus   | *** 3         | = 1   | X \$ =                                |                                  |                               |                                       | X \$200=            | 200 |
|  | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))                           |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
|  | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
|  |  |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
|  |  |                                    |   |               | TOTAL ADD'L FEE                                   |                                       |                                  |                               | TOTAL ADD'L FEE                       | <b>200</b>          |     |
| (Column 1)   |  |                                    | (Column 2)  |               |   | SMALL ENTITY                          |                                  | OR<br>OTHER THAN SMALL ENTITY |                                       |                     |     |
| <b>AMENDMENT</b>   | CLAIMS REMAINING AFTER AMENDMENT   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA   | RATE (\$)     | ADDITIONAL FEE (\$)                               | OR                                    |                                  | RATE (\$)                     | ADDITIONAL FEE (\$)                   |                     |     |
|  | Total (37 CFR 1.16(i))   | *                                  | Minus   | **            | =   |                                       |                                  | X \$ =                        |                                       | X \$ =              |     |
|  | Independent (37 CFR 1.16(h))   | *                                  | Minus   | ***           | =   |                                       |                                  | X \$ =                        |                                       | X \$ =              |     |
|  | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))                           |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
|  | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
|  |  |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |
|  |  |                                    |   |               | TOTAL ADD'L FEE                                   |                                       |                                  |                               | TOTAL ADD'L FEE                       |                     |     |
| <p>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</p> <p>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</p> <p>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</p> <p>The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.</p> |  |                                    |   |               |   |                                       |                                  |                               |                                       |                     |     |

Legal Instrument Examiner:  
Wanda Meredith

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.